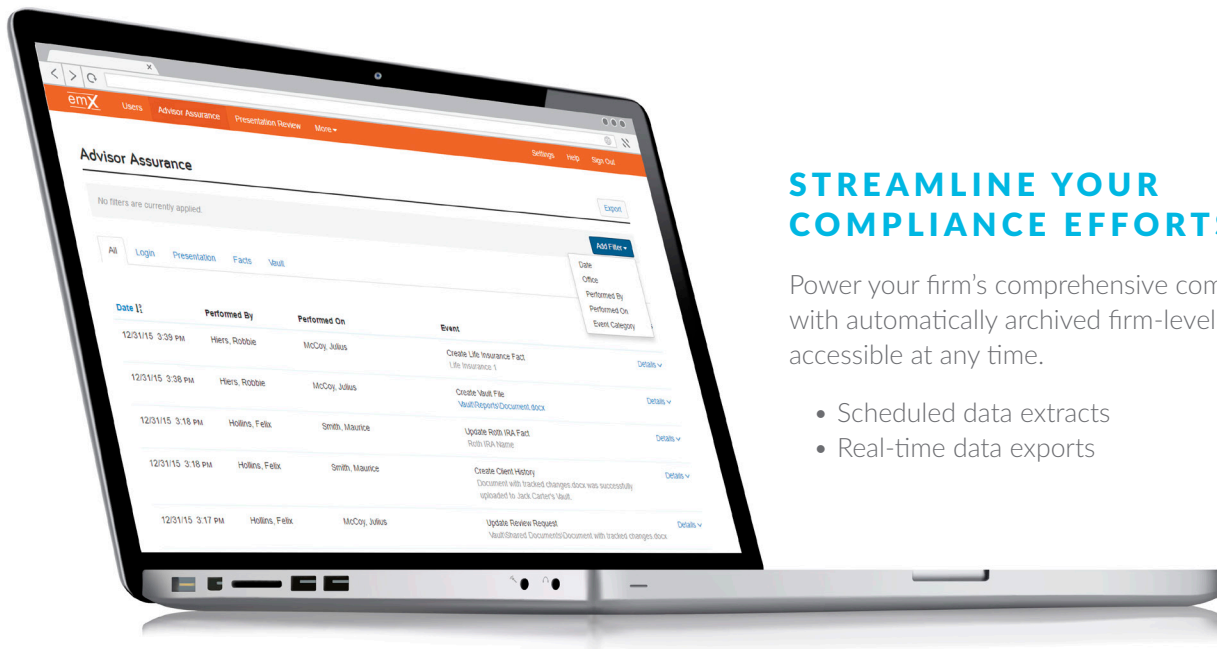


# Technology for the Entire Office

**Advisor Assurance** completes your firm's technology offering with tools built specifically for compliance and administrative users working in a highly regulated environment. Now, you can document and archive key advisor-client interactions throughout the planning process to easily monitor an entire office from a single, secure dashboard.



## STREAMLINE YOUR COMPLIANCE EFFORTS

Power your firm's comprehensive compliance efforts with automatically archived firm-level event logs accessible at any time.

- Scheduled data extracts
- Real-time data exports

## DATA DOWN TO THE SMALLEST DETAILS

Whether your firm has 2 advisors or 2,000, **Advisor Assurance** allows compliance users to easily monitor the activity of advisors and their clients. Logged events include:

- Manual account changes
- Client and advisor website activity
- Vault uploads
- Delivered presentations and reports
- Deleted records